

MONITORING PROCESS OF PUBLIC FINANCE ALLOCATION TO ETHNIC MINORITIES IN DAKLAK PROVINCE

I. Public Finance Source:

1. Local budget:

1.1. Investment in Infrastructure Construction

1.2. Socio-Economic Development Program for villages of indigenous ethnic minorities (Program 04/TU)

2. Central capital for national targets:

2.1. National targeted programs and big projects:

a. National targeted programs:

- Employment and Hunger Eradication-Poverty Reduction Program
- Rural Water Supply and Sanitation Program
- Family Planning and Population Program
- HIV and Socially-dangerous Diseases Prevention Program
- Culture Program
- Education and Training Program
- Crime Prevention Program

b. Program 135

- Investment in infrastructure construction for communes of special difficulties
- Investment in building centers at groups of communes
- Stabilization and development of agro-forestry production
- Planning of population re-distribution
- Training for officers of communes and villages.

c. 5 Mio-hectare Afforestation Project (661)

2.2. Support with other targets:

- a. Program 168
- b. Program 132
- c. Program 134
- d. Program 159
- e. Program 139: Medical examination and treatment free of charge for the poor

3. ODA funding:

II. Monitoring process:

1. Monitoring the process of public finance allocation:

1.1. Provincial level:

a. Monitoring agency:

- DPI and PMU-RDDL: monitor the implementation of the PPC-assigned annual plans by Departments, Sectors and District People's Committees; monitor the implementation of the plan by the DPI (the part assigned by the PPC); monitor the implementation of plans by relevant departments and sectors; monitor the implementation of plans by District People's Committees.
- Department of Finance: report of public finance allocation from the sectorial budget for ethnic minorities; report of results on capital allocation as planned.

- Department of Ethnic Minorities: report and monitor the budget of programs: 134/CP, 132/CP, 135/CP, 04/TU...
- Department of Agriculture and Rural Department: report and monitor the budget of programs: 661/CP; price subsidy, support with seedlings and domestic animal; help the poor to earn their living and extend agro-forestry; rural water supply and sanitation program (DANIDA).
- Department of Training and Education: report and monitor the budget of programs: 159/CP (reinforcing schools); national targeted programs and part of capital source of Program 168/CP managed by the Department of Training and Education.
- Department of Labor, Invalids and Social Affairs (DOLISA): report and monitor the budget of national targeted programs and part of the budget of program 168/CP managed by DOLISA.
- Department of Health (DoH): report and monitor the budget of programs 139/CP; national targeted programs and part of the budget of program 168/CP managed by the DoH.

b. Monitoring method:

The DPI is responsible for managing and monitoring the activities chaired by the DPI, and aggregating the reports from relevant agencies.

Departments and sectors are responsible for reporting the results of plans, implementation progress to the DPI every six months (first time: 20/6; second time 2: 20/12 annually).

1.2. District level:

Planning-Finance Division: based on the PPC-assigned annual plans to Districts People's Committees and the plans of their own.

Planning-Finance Division is responsible for managing and monitoring the activities chaired by the Division and aggregating the reports from relevant divisions and Commune People's Committees.

Planning-Finance Division is responsible for reporting to the DPI every six months (first time: 20/06, second time 20/12 annually).

1.3. Commune level:

Commune People's Committees: based on the DPC-assigned annual plans to Commune People's Committees and Communes' plans, based on the activities and programs carried out by other agencies in the area of commune (excluding assigned plans), based on the reports of Village Management Boards.

The planning officer is responsible for managing and monitoring the assigned activities and aggregating the reports for submission to Planning-Finance Division at District level every six months (first time: 15/06, second time: 15/12 annually).

MONITORING PROCESS OF PUBLIC FINANCE ALLOCATION TO VILLAGES OF ETHNIC MINORITIES IN TWO PROJECT DISTRICTS

I. Public finance sources:

1. Budget allocated by the province:

1.1. Investment in infrastructure construction

1.2. Socio-economic development program for villages of indigenous ethnic minorities (Program 04/TU)

1.3. National targeted programs and big projects:

a. National targeted programs:

- Employment and Hunger Eradication and Poverty Reduction Program
- Rural Water Supply and Sanitation Program
- Family Planning and Population Program
- HIV and Socially-dangerous Diseases Prevention Program
- Culture Program
- Education and Training Program
- Crime Prevention Program

b. Program 135:

- Investment in infrastructure construction at communes of special difficulties
- Investment in building centers at groups of communes
- Stabilization and development of agro-forestry production
- Planning of Population Re-distribution
- Training for officers of villages and communes.

c. 5 Mio-hectare Afforestation Project (661)

1.4. Support with other targets:

- a. Program 168
- b. Program 132
- c. Program 134
- d. Program 159
- e. Program 139: Medical examination and treatment free of charge for the poor

1.5. ODA funding

2. District budget:

2.1. Budget for construction investment

2.2. Sectorial budget

3. Commune budget:

3.1. Budget for construction investment

3.2. Sectorial budget

II. Monitoring process:

1. Monitoring the process of public finance allocation:

The DPI and PMU-RDDL: based on the monitoring of items for the province's budget, the DPI is also responsible for monitoring public finance sources allocated to the villages in two project

districts as planned and reviewing annual evaluation reports by the District People's Committees (information obtained from Planning-Finance Divisions).

1.1 District level:

a. Monitoring agency:

- Planning-Finance Division: monitor the implementation of the annual plans assigned by the PPC and DPI to the District PCs, monitor the implementation of plans by relevant departments and sectors; monitor the implementation of plans by District PCs (passed by District People's Councils); monitor sectorial budget allocated to villages of ethnic minorities, report results on budget allocation as planned.

- Religion and People Division: check, monitor and report the budget of programs: 134/CP, 132/CP, 135/CP, 04/TU... and the districts' budget allocated to the above programs.

- Economic Division: report and monitor the budget of Program 661/CP; price subsidy, support with seedlings and domestic animals; instruct the poor to earn their living, extend agro-forestry, rural water supply and sanitation program (DANIDA) and the districts' budget allocated to the above programs.

- Infrastructure Division: check, monitor and report the budget of infrastructure development investment for villages of ethnic minorities.

- Education Division: check, monitor and report the budget of Program 159/CP (reinforcing schools); national targeted programs and part of capital source of Program 168/CP and the districts' budget allocated to the above programs.

- Division of Internal Affairs-Labor-Invalids-Social Affairs: check, monitor and report the budget of national targeted programs and part of capital source of Program 168/CP and the districts' budget allocated to the above programs.

- District Health Center: check, monitor and report the budget of Program 139/CP, national targeted programs and part of capital source of Program 168/CP and the districts' budget allocated to the above programs.

b. Monitoring method:

The DPI is responsible for monitoring the items based on the plan and report by District PCs (information obtained from Planning-Finance Division); aggregating and comparing the reports of relevant agencies.

- Planning-Finance Division: monitoring is based on the followings:

- Annual plans by the PPC and plans by relevant Departments and Sectors; plans by the District PCs (passed by District People's Councils); sectorial budget allocated to villages of ethnic minorities.

- Report on the implementation status by relevant agencies and Commune People's Committees.

Relevant agencies and Commune People's Committees are responsible for reporting the results of planning, implementation progress to the Planning-Finance Division every six months (first time: 15/6, second time: 15/12 annually).

1.2. Commune level:

Commune People's Committee: based on the DPC-assigned annual plan to Commune People's Committee and Commune's plan; based on activities and programs implemented by other agencies in the area of commune (excluding the assigned plan); based on the reports by the Village Management Boards.

The planning officer is responsible for managing and monitoring the assigned activities, aggregating the reports for submission to District's Planning-Finance Division every six months (first time: 15/6, second time: 15/12 annually).

MONITORING PROCESS OF PUBLIC FINANCE ALLOCATION TO ACTIVITIES OF PRIORITIZED VILLAGES IN THE COMMUNE DEVELOPMENT PLAN

I. Bases:

- 1. Village Development Planning (VDP)**
- 2. Commune Development Planning (CDP)**
- 3. District's plan of budget allocation to communes**

II. Monitoring method:

1. District People's Committee:

The District's Planning-Finance Division is responsible for monitoring and evaluating public finance allocation to the activities of prioritized villages in the commune plans based on the followings:

- Plan of budget allocation to communes;
- VDP/CDP reported by Commune PCs;
- Reports by communes on the implementation of VDP/CDP.

Monitoring and evaluation are carried out every six months (on 15/6 and 15/12 annually).

2. Commune People's Committee:

The planning officer is responsible for monitoring and evaluating public finance allocation to the activities of prioritized villages in the commune plans based on the followings:

- Plan of budget allocation to communes;
- VDP/CDP;
- Reports by villages on the implementation of VDP.
- The aggregation of monitoring and evaluation reports is carried out every six months (on 10/6 and 10/12 annually).

* In order to facilitate the aggregation of report, it is necessary to insert one more column for monitoring the budget-allocated activities in the CDP form.

3. Village Management Board:

Based on the village development plan and prioritized activities implemented in the area of the village, the Village Management Board is responsible for aggregating monitoring and evaluation reports every six months (on 05/6 and 05/12 annually).

**TRAINING NEEDS FOR MONITORING AND EVALUATING INDICATORS OF PUBLIC
FINANCE ALLOCATION TO ETHNIC MINORITIES (I.2; P.II.1; P.II.2)**

No.	Agencies	Number of trainee	Remarks
I	<u>Training in province:</u>	<u>23</u>	
1.	DPI and RDDL	06	
2.	DoF	01	
3.	DOLISA	01	
4.	DARD	01	
5.	DoH	01	
6.	DET	01	
7.	Department of Ethnic Minorities	01	
8.	Districts and BMT City	11	(excluding 2 target districts)
II.	<u>Training in 2 target districts:</u>	<u>60</u>	
1.	<u>Ea H'Leo District</u>	<u>29</u>	
1.1.	District People's Committee	01	
1.2.	Planning-Finance Division	01	
1.3.	Economic Division	01	
1.4.	Religion-Ethnic Minorities Division	01	
1.5.	Infrastructure Division	01	
1.6.	Education Division	01	
1.7.	Internal Affairs-Labor-Invalids-Social Affairs Division	01	
1.8.	District Health Center	01	
1.9.	Coordinator at district level	01	
1.10.	Representatives of communes (02 officers/commune)	20	(01 planning officer and 01 officer of mass organization)
2.	<u>Lăk District</u>	<u>31</u>	
2.1.	District People's Committee	01	
2.2.	District Planning-Finance Division	01	
2.3.	District Economic Division	01	
2.4.	Religion – Ethnic Minorities Division	01	
2.5.	Infrastructure Division	01	
2.6.	Education Division	01	
2.7.	Internal Affairs-Labor-Invalid-Social Affairs Division	01	
2.8.	District Health Center	01	
2.9.	Coordinator at District level	01	
2.10.	Representatives of communes (02 officers/commune)	22	(01 planning officer and 01 officer under mass organization)
III	<u>Training at commune</u>	<u>279</u>	(21 communes, town/ 2 districts)
	Representatives (1 officer/village)	279	279 villages

Notes:

- Training activities should be carried out as soon as the monitoring and evaluation system is completed;
- It is necessary to organize 01 training course in BMT City for the participants as stated in Item I (01 day expected).
- Training in district: training activities is held for district officers and representatives of communes as stated in Item II (01 day expected);
- Training for village officers as stated in Item III: Training is conducted by the officers at district and commune levels, who have been trained in the meeting for dissemination of monitoring method (half day expected)/.

PUBLIC FINANCE ALLOCATION TO ETHNIC MINORITIES IN DAKLAK PROVINCE

Unit: million VNI

ITEMS	Whole province				Lắk District								Ea H'leo District							
	2005		2006		2005				2006				2005				2006			
	Total	Eth. Min	Total	Eth. Min	Total	Eth. Min	B.Krang	Đ.Nuê	Total	Eth. Min	B.Krang	Đ.Nuê	Total	Eth. Min	Ea Sol	Ea Hiao	Total	Eth. Min	Ea Sol	Ea Hiao
TOTAL	583,171	110,054	680,068	153,615	37,908	13,901	1,747	1,470	54,040	19,471	2,180	1,471	36,167	9,109	2,940	1,880	41,003	10,391	1,590	1,386
1. PROVINCE'S BUDGET	583,171	110,054	680,068	153,615	36,458	13,513	1,747	1,470	51,865	18,896	2,180	1,471	33,497	8,721	2,940	1,880	35,983	9,266	1,590	1,386
1.1. Investment in infrastructure construction	323,947	17,817	356,450	17,823	18,580	3,344	200	250	27,532	4,956	233	120	16,498	2,145	1,450	391	19,347	4,063	599	350
<i>Of which: Program 168</i>	145,000	14,500	145,000	14,500	13,120	3,936	200	250	18,350	5,505	233	120	12,410	2,234	450	391	14,250	2,850	599	350
1.2. Socio-Economic Development Program for villages of indigenous ethnic minorities (CT 04/TU)	6,500	6,500	13,000	13,000	500	500			1,000	500			500	500			1,000	500		
1.3. National targeted programs and big projects:	113,924	25,748	138,348	30,403	7,821	4,379	881	627	13,703	7,799	1,317	729	5,728	915	763	750	6,097	1,233	504	558
<i>a. National targeted programs:</i>	73,211	19,256	112,708	25,442	3,675	2,069	211	254	10,643	6,277	1,270	678	2,984	539	199	258	5,053	1,076	457	557
- Employment and Hunger Eradication and Poverty Reduction Program	13,970	4,191	17,195	3,439	1,175	587	92	150	948	474	56	78	1,062	191	63	137	1,080	270	56	228
- Rural Water Supply and Sanitation Program	3,000	960	2,200	704																
- Family Planning and Population Program	8,843	2,653	10,483	2,097	184	92	14	12	135	68	12	11	102	20	11	9	113	34	12	14
- HIV and Socially-dangerous Diseases Prevention Program	8,018	1,604	6,607	1,321																
- Culture Program	4,130	826	11,183	1,118	697	418			6,000	3,600	900	300		0				0		
- Education and Training Program	34,700	9,022	64,470	16,762	1,620	972	105	92	3,560	2,136	302	289	1,820	328	125	112	3,860	772	389	315
- Crime Prevention Program	550		570																	
<i>b. Program 135: Kr.nô, dak phoi, Ea rbin, nam kar</i>	31,488	5,109	12,190	2,944	3,632	2,155	647	348	2,012	1,207	1	1	1,866	288	538	464	16	3	1	1
- Investment in infrastructure construction at communes of special difficulties	20,000	4,000	11,500	1,725	3,500	2,100	644	345	2,000	1,200			1,000	200	537	463		0		
- Investment in building centres at groups of commune	7,400	740	11,500	1,150																
- Stabilization and development of agro-forestry production	1,000	200		0	120	48	2	2		0				0				0		
- Planning of Population Re-distribution	2,800	140		0		0				0			850	85				0		
- Training for officers of communes and villages.	288	29	690	69	12	7	1	1	12	7	1	1	16	3	1	1	16	3	1	1
<i>c. 5 Mio-hectare Afforestation Project (661)</i>	9,225	1,384	13,450	2,018	514	154	23	25	1,048	314	46	50	878	88	26	28	1,028	154	46	
1.4. Support with other targets:	118,800	57,989	150,270	90,189	9,006	5,015	666	593	9,310	5,481	630	622	10,191	5,045	727	739	9,229	3,284	487	478
Of which: - Program 132	9,850	9,850	9,230	9,230	316	316	12	10	2,000	2,000	120	130	155	155	8	9	5	5		1
- Program 134	32,250	32,250	65,820	65,820	3,230	3,230	212	201	1,687	1,687	125	102	4,138	4,138	352	360	717	717	76	62
- Program 159	28,250	1,695	30,120	1,807	1,557	623	85	53	3,025	1,210	25	63	1,503	180	32	52	4,107	904	78	89
- Program 139 (Medical examination and treatment free of charge for the poor)	23,450	12,194	22,100	11,492	325	130	5	7	325	130	5	7	1,222	159	15	18	1,020	306	13	16
- Other programs	25,000	2,000	23,000	1,840	3,578	716	352	322	2,273	455	355	320	3,173	412	320	300	3,380	1,352	320	310
1.5. ODA funding	20,000	2,000	22,000	2,200	550	275			320	160			580	116			310	186		
2. DISTRICT'S BUDGET		0		0	1,370	356	0	0	2,090	541	0	0	2,490	352	0	0	3,820	1,065	0	0
2.1. Budget for construction investment		0		0	820	246			1,230	369			1,430	215			2,200	660		
2.2. Budget for national targeted programs		0		0	550	110			860	172			1,060	138			1,620	405		
3. COMMUNE'S BUDGET:		0		0	80	32	0	0	85	34	0	0	180	36	0	0	1,200	60	0	0
3.1. Budget for construction investment																				
3.2. Budget for national targeted programs		0		0	80	32			85	34			180	36			200	60		